

**FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks
and Options Order Routing Public Report
Generated on Tue Jul 14 2020 12:18:16 GMT-4 EDT
2nd Quarter, 2020**

April 2020

**S&P 500 Stocks
Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.06	0.47	0.47	0.00

Venues

Venue - Non- directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
Citadel Securities LLC(CDRG)	69.15	70.05	0.00
The Chicago Board Options Stock Exchange(CBOE)	13.11	13.28	0.00
Interactive Brokers LLC(IBKR)	9.77	9.90	0.00
Instinet BlockCross(SSBS)	3.86	3.91	0.00
National Financial Services LLC(XSTM)	2.57	2.08	66.67
G1 Execution Services, LLC(ETMM)	0.51	0.26	33.33

VIRTU Americas LLC(NITE) NASDAQ Execution Services, LLC(NSDQ)	0.51	0.52	0.00	0.00
	0.51	0.00	0.00	100.00

Material Aspects:

April 2020

**Non-S&P 500
Stocks
Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	96.99	0.55	0.82	1.64

Venues

Venue - Non- directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
Citadel Securities LLC(CDRG)	61.54	62.37	33.33
			0.00

The Chicago Board Options Stock Exchange(CBOE)	11.98	11.53	0.00	40.00
Interactive Brokers LLC(IBKR)	7.84	7.74	33.33	0.00
National Financial Services LLC(XSTM)	7.40	7.59	0.00	0.00
Instinet BlockCross(SSBS)	7.25	7.13	33.33	0.00
VIRTU Americas LLC(NITE)	1.18	1.21	0.00	0.00
G1 Execution Services, LLC(ETMM)	1.04	1.06	0.00	0.00
UBS Securities, LLC(UBSS)	0.59	0.61	0.00	0.00
Two Sigma Securities, LLC(SOHO)	0.44	0.46	0.00	0.00
JANE STREET CAPITAL, LLC(JNST)	0.30	0.30	0.00	0.00

Material Aspects:

April 2020

**Option Contracts
Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	66.67	0.00	33.33	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
Citadel Securities LLC(CDRG)	33.33	50.00	0.00
Interactive Brokers LLC(IBKR)	22.22	0.00	66.67
Cboe Options Exchange(CBOE)	11.11	16.67	0.00
Dash Financial Technologies LLC(DASH)	11.11	16.67	0.00
Citigroup Global Markets, Inc.(KEXP)	11.11	0.00	33.33
Susquehanna Capital Group(SUSQ)	11.11	16.67	0.00

Material Aspects:

May 2020

S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	97.34	0.00	0.00	2.66

Venues

Venue - Non- directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
Citadel Securities LLC(CDRG)	91.48	92.17	0.00
The Chicago Board Options Stock Exchange(CBOE)	5.41	4.67	0.00
Instinet BlockCross(SSBS)	3.11	3.17	0.00

Material Aspects:

May 2020

**Non-S&P 500
Stocks
Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	97.02	0.00	0.50	2.48

Venues

Venue - Non- directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
Citadel Securities LLC(CDRG)	80.46	80.12	100.00
The Chicago Board Options Stock Exchange(CBOE)	15.52	15.79	0.00
Instinet BlockCross(SSBS)	4.02	4.09	0.00

Material Aspects:

May 2020

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	52.94	0.00	47.06	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
Citadel Securities LLC(CDRG)	89.47	100.00	80.00
Dash Financial Technologies LLC(DASH)	10.53	0.00	20.00

Material Aspects:

June 2020

S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.69	0.00	0.00	0.31

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
The Chicago Board Options Stock Exchange(CBOE)	65.56	65.50	0.00	0.00
Citadel Securities LLC(CDRG)	25.79	25.83	0.00	0.00
Citigroup Global Markets, Inc.(KEXP)	5.49	5.50	0.00	0.00
Instinet BlockCross(SSBS)	3.16	3.17	0.00	0.00

Material Aspects:

June 2020

Non-S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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100.00	99.50	0.00	0.50	0.00
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Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
The Chicago Board Options Stock Exchange(CBOE)	64.21	64.59	0.00	0.00
Citadel Securities LLC(CDRG)	26.36	26.52	0.00	0.00
Instinet BlockCross(SSBS)	7.95	8.00	0.00	0.00
Citigroup Global Markets, Inc.(KEXP)	0.88	0.89	0.00	0.00
NASDAQ Execution Services, LLC(NSDQ)	0.44	0.00	0.00	75.00
Cboe BZX US Equities Exchange(ZBAT)	0.15	0.00	0.00	25.00

Material Aspects:

June 2020

**Option Contracts
Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	62.26	11.32	26.42	0.00

Venues

Venue - Non- directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
Cboe Options Exchange(CBOE)	54.72	60.61	83.33	28.57
Citadel Securities LLC(CDRG)	26.42	24.24	0.00	42.86
Dash Financial Technologies LLC(DASH)	16.98	12.12	16.67	28.57
Citigroup Global Markets, Inc.(KEXP)	1.89	3.03	0.00	0.00

Material Aspects:

0.00		0	0	0
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0.00		0	0	0
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	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
Other Orders(%)			
50.00		0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
50.00	0	0	0
50.00	0	0	0
0.00	0	0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment	
		Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
100.00		0	0
0.00		0	0
0.00		0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment	
		Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
0.00		0	0
0.00		0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment	
		Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
100.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0

Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
0	0	0	0	0
0	0	0	0	0

Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0