

**FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks and  
Options Order Routing Public Report  
Generated on Fri Jul 31 2020 03:35:14**

**2nd Quarter, 2020**

**April 2020**

**S&P 500 Stocks  
Summary**

		<b>Marketable Limit</b>	<b>Non-Marketable</b>	
<b>Non-Directed Orders as % of All Orders</b>	<b>Market Orders as % of Non-Directed Orders</b>	<b>Orders as % of Non-Directed Orders</b>	<b>Limit Orders as % of Non-Directed Orders</b>	<b>Other Orders as % of Non-Directed Orders</b>
100.00	99.06	0.47	0.47	0.00

**Venues**

<b>Venue - Non-directed Order Flow</b>	<b>Non-Directed Orders(%)</b>	<b>Marketable Limit Market Orders(%)</b>	<b>Non-Marketable Limit Orders(%)</b>
<b>National Financial Services LLC(XSTM)</b>	45.76	45.83	66.67
<b>Citadel Securities LLC(CDRG)</b>	30.08	30.47	0.00
<b>VIRTU Americas LLC(NITE)</b>	9.77	9.90	0.00
<b>G1 Execution Services, LLC(ETMM)</b>	5.14	4.95	33.33
<b>Instinet BlockCross(SSBS)</b>	3.86	3.91	0.00
<b>JANE STREET CAPITAL, LLC(JNST)</b>	2.06	2.08	0.00
<b>UBS Securities, LLC(UBSS)</b>	1.54	1.56	0.00

<b>Two Sigma Securities, LLC(SOHO)</b>	1.29	1.30	0.00	0.00
--	------	------	------	------

<b>NASDAQ Execution Services, LLC(NSDQ)</b>	0.51	0.00	0.00	100.00
---	------	------	------	--------

**Material Aspects:**

**April 2020**

**Non-S&P 500 Stocks Summary**

	<b>Market Orders as % of Non-Directed Orders</b>	<b>Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Non-Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Other Orders as % of Non-Directed Orders</b>
<b>Non-Directed Orders as % of All Orders</b>	100.00	0.55	0.82	1.64

**Venues**

<b>Venue - Non-directed Order Flow</b>	<b>Non-Directed Orders(%)</b>	<b>Marketable Limit Orders(%)</b>	<b>Non-Marketable Limit Orders(%)</b>
<b>National Financial Services LLC(XSTM)</b>	40.24	40.97	33.33

<b>Citadel Securities LLC(CDRG)</b>	27.66	27.92	33.33	0.00
<b>VIRTU Americas LLC(NITE)</b>	11.83	11.23	0.00	20.00
<b>Instinet BlockCross(SSBS)</b>	7.25	7.13	33.33	0.00
<b>G1 Execution Services, LLC(ETMM)</b>	4.88	5.01	0.00	0.00
<b>Two Sigma Securities, LLC(SOHO)</b>	3.70	3.79	0.00	0.00
<b>JANE STREET CAPITAL, LLC(JNST)</b>	1.92	1.97	0.00	0.00
<b>UBS Securities, LLC(UBSS)</b>	1.92	1.97	0.00	0.00
<b>NASDAQ Execution Services, LLC(NSDQ)</b>	0.30	0.00	0.00	40.00
<b>NYSE Arca(ARCA)</b>	0.15	0.00	0.00	20.00

**Material Aspects:**

**April 2020**

**Option Contracts  
Summary**

<b>Non-Directed Orders as % of All Orders</b>	<b>Market Orders as % of Non-Directed Orders</b>	<b>Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Non-Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Other Orders as % of Non-Directed Orders</b>
100.00	66.67	0.00	33.33	0.00

**Venues**

<b>Venue - Non-directed Order Flow</b>	<b>Non-Directed Orders(%)</b>	<b>Marketable Limit Orders(%)</b>	<b>Non-Marketable Limit Orders(%)</b>
<b>Citadel Securities LLC(CDRG)</b>	22.22	16.67	33.33
<b>Morgan Stanley &amp; Company LLC(MSCO)</b>	22.22	33.33	0.00
<b>Susquehanna Capital Group(SUSQ)</b>	22.22	33.33	0.00
<b>Dash Financial Technologies LLC(DASH)</b>	11.11	16.67	0.00
<b>Citigroup Global Markets, Inc.(KEXP)</b>	11.11	0.00	33.33
<b>Wolverine Execution Services LLC(WEXX)</b>	11.11	0.00	33.33

**Material Aspects:**

May 2020

S&P 500 Stocks  
Summary

	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
Non-Directed Orders as % of All Orders	97.34	0.00	0.00	2.66

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)
National Financial Services LLC(XSTM)	39.02	39.67	0.00
Citadel Securities LLC(CDRG)	33.44	32.67	0.00
VIRTU Americas LLC(NITE)	12.30	12.17	0.00
G1 Execution Services, LLC(ETMM)	5.41	5.50	0.00
Instinet BlockCross(SSBS)	3.11	3.17	0.00
Two Sigma Securities, LLC(SOHO)	2.79	2.83	0.00
JANE STREET CAPITAL, LLC(JNST)	2.13	2.17	0.00
UBS Securities, LLC(UBSS)	1.80	1.83	0.00

**Material Aspects:**

**May 2020**

**Non-S&P 500 Stocks  
Summary**

<b>Non-Directed Orders as % of All Orders</b>	<b>Market Orders as % of Non-Directed Orders</b>	<b>Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Non-Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Other Orders as % of Non-Directed Orders</b>
100.00	97.02	0.00	0.50	2.48

**Venues**

<b>Venue - Non-directed Order Flow</b>	<b>Non-Directed Orders(%)</b>	<b>Marketable Limit Market Orders(%)</b>	<b>Non-Marketable Limit Orders(%)</b>
<b>National Financial Services LLC(XSTM)</b>	39.94	40.64	0.00
<b>Citadel Securities LLC(CDRG)</b>	31.18	30.99	0.00
<b>VIRTU Americas LLC(NITE)</b>	15.37	14.91	0.00
<b>G1 Execution Services, LLC(ETMM)</b>	4.31	4.39	0.00

<b>Instinet</b>				
<b>BlockCross(SSBS)</b>	4.02	4.09	0.00	0.00
<b>Two Sigma</b>				
<b>Securities, LLC(SOHO)</b>	2.01	2.05	0.00	0.00
<b>UBS Securities,</b>				
<b>LLC(UBSS)</b>	1.58	1.61	0.00	0.00
<b>JANE STREET</b>				
<b>CAPITAL, LLC(JNST)</b>	1.29	1.32	0.00	0.00
<b>Cboe EDGX US</b>				
<b>Equities</b>				
<b>Exchange(EDGX)</b>	0.29	0.00	0.00	100.00

**Material Aspects:**

**May 2020**

**Option Contracts  
Summary**

		<b>Marketable Limit</b>	<b>Non-Marketable</b>	
<b>Non-Directed Orders</b>	<b>Market Orders as</b>	<b>Orders as % of</b>	<b>Limit Orders as %</b>	<b>Other Orders as %</b>
<b>as % of All Orders</b>	<b>% of Non-Directed</b>	<b>Non-Directed</b>	<b>of Non-Directed</b>	<b>of Non-Directed</b>
	<b>Orders</b>	<b>Orders</b>	<b>Orders</b>	<b>Orders</b>
100.00	52.94	0.00	47.06	0.00

**Venues**

<b>Venue - Non-directed Order Flow</b>	<b>Non-Directed Orders(%)</b>	<b>Market Orders(%)</b>	<b>Marketable Limit Orders(%)</b>	<b>Non-Marketable Limit Orders(%)</b>
<b>Citadel Securities LLC(CDRG)</b>	31.58	55.56	0.00	10.00
<b>Susquehanna Capital Group(SUSQ)</b>	26.32	33.33	0.00	20.00
<b>Wolverine Execution Services LLC(WEXX)</b>	21.05	0.00	0.00	40.00
<b>Dash Financial Technologies LLC(DASH)</b>	10.53	0.00	0.00	20.00
<b>Morgan Stanley &amp; Company LLC(MSCO)</b>	10.53	11.11	0.00	10.00

**Material Aspects:**

**June 2020**

**S&P 500 Stocks Summary**

<b>Non-Directed Orders as % of All Orders</b>	<b>Market Orders as % of Non-Directed Orders</b>	<b>Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Non-Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Other Orders as % of Non-Directed Orders</b>
---	--	--	--	---



100.00	99.69	0.00	0.00	0.31
--------	-------	------	------	------

**Venues**

<b>Venue - Non-directed Order Flow</b>	<b>Non-Directed Orders(%)</b>	<b>Market Orders(%)</b>	<b>Marketable Limit Orders(%)</b>	<b>Non-Marketable Limit Orders(%)</b>
<b>National Financial Services LLC(XSTM)</b>	46.78	46.83	0.00	0.00
<b>Citadel Securities LLC(CDRG)</b>	25.18	25.21	0.00	0.00
<b>VIRTU Americas LLC(NITE)</b>	18.74	18.64	0.00	0.00
<b>Instinet BlockCross(SSBS)</b>	3.22	3.23	0.00	0.00
<b>G1 Execution Services, LLC(ETMM)</b>	2.74	2.75	0.00	0.00
<b>JANE STREET CAPITAL, LLC(JNST)</b>	1.55	1.55	0.00	0.00
<b>Two Sigma Securities, LLC(SOHO)</b>	0.95	0.96	0.00	0.00
<b>UBS Securities, LLC(UBSS)</b>	0.84	0.84	0.00	0.00

**Material Aspects:**

June 2020

**Non-S&P 500 Stocks  
Summary**

	<b>Market Orders as % of Non-Directed Orders</b>	<b>Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Non-Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Other Orders as % of Non-Directed Orders</b>
<b>Non-Directed Orders as % of All Orders</b>	99.50	0.00	0.50	0.00

**Venues**

<b>Venue - Non-directed Order Flow</b>	<b>Non-Directed Orders(%)</b>	<b>Marketable Limit Orders(%)</b>	<b>Non-Marketable Limit Orders(%)</b>
<b>National Financial Services LLC(XSTM)</b>	34.62	34.83	0.00
<b>Citadel Securities LLC(CDRG)</b>	25.78	25.94	0.00
<b>VIRTU Americas LLC(NITE)</b>	19.65	19.77	0.00
<b>Instinet BlockCross(SSBS)</b>	7.80	7.85	0.00
<b>Two Sigma Securities, LLC(SOHO)</b>	4.68	4.71	0.00
<b>JANE STREET CAPITAL, LLC(JNST)</b>	2.49	2.51	0.00
<b>UBS Securities, LLC(UBSS)</b>	2.39	2.41	0.00
<b>G1 Execution Services, LLC(ETMM)</b>	1.98	1.99	0.00

<b>NASDAQ Execution Services, LLC(NSDQ)</b>	0.42	0.00	0.00	66.67
<b>Cboe BZX US Equities Exchange(ZBAT)</b>	0.21	0.00	0.00	33.33

**Material Aspects:**

June 2020

**Option Contracts Summary**

	<b>Market Orders as % of Non-Directed Orders</b>	<b>Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Non-Marketable Limit Orders as % of Non-Directed Orders</b>	<b>Other Orders as % of Non-Directed Orders</b>
<b>Non-Directed Orders as % of All Orders</b>	62.26	11.32	26.42	0.00

**Venues**

<b>Venue - Non-directed Order Flow</b>	<b>Non-Directed Orders(%)</b>	<b>Marketable Limit Orders(%)</b>	<b>Non-Marketable Limit Orders(%)</b>
<b>Citadel Securities LLC(CDRG)</b>	46.25	48.00	57.14

<b>Susquehanna Capital Group(SUSQ)</b>	22.50	32.00	28.57	0.00
<b>Dash Financial Technologies LLC(DASH)</b>	17.50	12.00	14.29	30.43
<b>Morgan Stanley &amp; Company LLC(MSCO)</b>	6.25	6.00	0.00	8.70
<b>Wolverine Execution Services LLC(WEXX)</b>	3.75	0.00	0.00	13.04
<b>Citigroup Global Markets, Inc.(KEXP)</b>	2.50	2.00	0.00	4.35
<b>Citigroup Global Markets, Inc.(CITI)</b>	1.25	0.00	0.00	4.35

**Material Aspects:**

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0

0.00		0	0	0
------	--	---	---	---

0.00		0	0	0
------	--	---	---	---

		<b>Net Payment</b>	
<b>Other Orders(%)</b>	<b>Net Payment Paid/Received for Market Orders(USD)</b>	<b>Paid/Received for Market Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Marketable Limit Orders(USD)</b>

0.00		0	0	0
------	--	---	---	---



Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0





<b>Other Orders(%)</b>	<b>Net Payment Paid/Received for Market Orders(USD)</b>	<b>Net Payment Paid/Received for Market Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Marketable Limit Orders(USD)</b>
0.00		0	0
50.00		0	0
50.00		0	0
0.00		0	0

0.00		0	0	0
------	--	---	---	---

0.00		0	0	0
------	--	---	---	---

0.00		0	0	0
------	--	---	---	---

0.00		0	0	0
------	--	---	---	---

0.00		0	0	0
------	--	---	---	---

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0



Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0
0.00		0	0

0.00		0	0	0
------	--	---	---	---

0.00		0	0	0
------	--	---	---	---

	<b>Net Payment Paid/Received for Market Orders(USD)</b>	<b>Net Payment Paid/Received for Market Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Marketable Limit Orders(USD)</b>	
0.00		0	0	0







0 0 0 0 0

0 0 0 0 0

<b>Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(USD)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Other Orders(USD)</b>	<b>Net Payment Paid/Received for Other Orders(cents per hundred shares)</b>
--	---	--	--	---

0 0 0 0 0







<b>Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(USD)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Other Orders(USD)</b>	<b>Net Payment Paid/Received for Other Orders(cents per hundred shares)</b>
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0

0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0

<b>Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(USD)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Other Orders(USD)</b>	<b>Net Payment Paid/Received for Other Orders(cents per hundred shares)</b>
--	---	--	--	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---



<b>Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(USD)</b>	<b>Net Payment Paid/Received for Non-Marketable Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Other Orders(USD)</b>	<b>Net Payment Paid/Received for Other Orders(cents per hundred shares)</b>
--	---	--	--	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---

0	0	0	0	0
---	---	---	---	---



0 0 0 0 0

0 0 0 0 0

<b>Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(USD)</b>	<b>Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)</b>	<b>Net Payment Paid/Received for Other Orders(USD)</b>	<b>Net Payment Paid/Received for Other Orders(cents per hundred shares)</b>
--	---	--	--	---

0 0 0 0 0

