FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2020

July 2020

S&P 500 Stocks

Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
204	100.00	203	99.51	0	0.00	0	0.00	1	0.49

Venue - N directe Order Fle	d Dir	Non- rected orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Receiv ed for Market Orders(USD)	ed for Market Orders(cent	Paid/Receiv ed for Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit Orders(cent	Net Payment Paid/Receiv ed for Other Orders(USD)	Net Payment Paid/Receiv ed for Other Orders(cent s per hundred shares)
National Financial Service LLC(XSTI	al s	139	39.94	0	0.00	0	0.00	0	0.00	139	84.76	0	0	0	0	0	0	0	0
Citade Securiti LLC(CDR	es	96	27.59	95	51.63	0	0.00	0	0.00	1	0.61	0	0	0	0	0	0	0	0
VIRTU America LLC(NIT	as	66	18.97	66	35.87	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instine BlockCros LKX)	-	24	6.90	0	0.00	0	0.00	0	0.00	24	14.63	0	0	0	0	0	0	0	0
Two Sign Securitie LLC(SOH	es,	9	2.59	9	4.89	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
JANE STR CAPITA LLC(JNS	L,	6	1.72	6	3.26	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

G1 Execution Services, LLC(ETMM)	5	1.44	5	2.72	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	3	0.86	3	1.63	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

July 2020

Non-S&P 500 Stocks

Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
457	100.00	444	97.16	3	0.66	4	0.88	6	1.31

Venue - Non- directed Order Flow	Non- Directed Orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Receiv ed for Market Orders(USD)	ed for Market Orders(cent	Paid/Receiv ed for Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for	Other Orders(cent
National Financial Services LLC(XSTM)	288	37.84	0	0.00	0	0.00	0	0.00	288	88.34	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	243	31.93	235	54.91	1	33.33	1	25.00	6	1.84	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	130	17.08	128	29.91	2	66.67	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(B LKX)	32	4.20	0	0.00	0	0.00	0	0.00	32	9.82	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	24	3.15	24	5.61	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

JANE STREET CAPITAL, LLC(JNST)	18	2.37	18	4.21	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	15	1.97	15	3.50	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	8	1.05	8	1.87	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe BZX U.S. Equities Exchange(BA TS)	2	0.26	0	0.00	0	0.00	2	50.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(ED GX)	1	0.13	0	0.00	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0

July 2020

Option Contracts

Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
20	100.00	9	45.00	2	10.00	9	45.00	0	0.00

Venue - Non- directed Order Flow	Non- Directed Orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Orders	Other Orders(%)	Paid/Receiv ed for	ed for Market Orders(cent	Paid/Receiv ed for Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit Orders(cent	Payment Paid/Receiv ed for Other	Net Payment Paid/Receiv ed for Other Orders(cent s per hundred shares)
Citadel Securities LLC(CDRG)	9	45.00	6	66.67	0	0.00	3	33.33	0	0.00	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	3	15.00	0	0.00	1	50.00	2	22.22	0	0.00	0	0	0	0	0	0	0	0

Citigroup Global Markets, Inc.(KEXP)	3	15.00	1	11.11	0	0.00	2	22.22	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	2	10.00	1	11.11	1	50.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	1	5.00	0	0.00	0	0.00	1	11.11	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	1	5.00	1	11.11	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	5.00	0	0.00	0	0.00	1	11.11	0	0.00	0	0	0	0	0	0	0	0

August 2020

S&P 500 Stocks

Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non-	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
146	100.00	143	97.95	0	0.00	1	0.68	2	1.37

Venue - Non- directed Order Flow	Non- Directed Orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Orders	Other Orders(%)	Paid/Receiv ed for	ed for Market Orders(cent	Paid/Receiv ed for Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit Orders(cent	Payment Paid/Receiv ed for	Net Payment Paid/Receiv ed for Other Orders(cent s per hundred shares)
National Financial Services LLC(XSTM)	89	36.78	0	0.00	0	0.00	0	0.00	89	88.12	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	67	27.69	67	48.55	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

VIRTU Americas LLC(NITE)	49	20.25	47	34.06	0	0.00	0	0.00	2	1.98	0	0	0	0	0	0	0	0
Instinet BlockCross(B LKX)	10	4.13	0	0.00	0	0.00	0	0.00	10	9.90	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	10	4.13	10	7.25	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	9	3.72	9	6.52	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	3	1.24	3	2.17	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	3	1.24	0	0.00	0	0.00	3	100.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2	0.83	2	1.45	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

August 2020

Non-S&P 500 Stocks

Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
463	100.00	444	95.90	4	0.86	4	0.86	11	2.38

Venue - Non- directed Order Flow	Non- Directed Orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Paid/Receiv ed for	Market Orders(cent	Paid/Receiv ed for Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for	Other Orders(cent
National Financial Services LLC(XSTM)	310	38.08	0	0.00	0	0.00	0	0.00	310	88.32	0	0	0	0	0	0	0	0

Citadel Securities LLC(CDRG)	229	28.13	223	51.74	0	0.00	0	0.00	6	1.71	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	156	19.16	148	34.34	4	100.00	0	0.00	4	1.14	0	0	0	0	0	0	0	0
Instinet BlockCross(B LKX)	30	3.69	0	0.00	0	0.00	0	0.00	30	8.55	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	23	2.83	0	0.00	0	0.00	23	82.14	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	22	2.70	22	5.10	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	19	2.33	19	4.41	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	12	1.47	12	2.78	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	8	0.98	7	1.62	0	0.00	0	0.00	1	0.28	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(ED GX)	5	0.61	0	0.00	0	0.00	5	17.86	0	0.00	0	0	0	0	0	0	0	0

August 2020

Option Contracts

Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
17	100.00	11	64.71	2	11.76	4	23.53	0	0.00

Venue - Non- directed Order Flow	Non- Directed Orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Receiv ed for Market Orders(USD)	ed for Market Orders(cent	Paid/Receiv ed for Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit	Net Payment Paid/Receiv ed for Other Orders(USD)	Net Payment Paid/Receiv ed for Other Orders(cent s per hundred shares)
Interactive Brokers LLC(IBKR)	6	35.29	5	45.45	1	50.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	5	29.41	4	36.36	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	3	17.65	1	9.09	0	0.00	2	50.00	0	0.00	0	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(KEXP)	1	5.88	1	9.09	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	1	5.88	0	0.00	1	50.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	5.88	0	0.00	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0

September 2020

S&P 500 Stocks

Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders		Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non-	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
126	100.00	122	96.83	0	0.00	0	0.00	4	3.17

Venue - Non- directed Order Flow	Non- Directed Orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Receiv ed for Market Orders(USD)	Market Orders(cent	Paid/Receiv ed for Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for	Net Payment Paid/Receiv ed for Other Orders(cent s per hundred shares)
National Financial Services LLC(XSTM)	88	41.51	0	0.00	0	0.00	0	0.00	88	86.27	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	65	30.66	64	58.18	0	0.00	0	0.00	1	0.98	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	34	16.04	31	28.18	0	0.00	0	0.00	3	2.94	0	0	0	0	0	0	0	0
Instinet BlockCross(B LKX)	10	4.72	0	0.00	0	0.00	0	0.00	10	9.80	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	6	2.83	6	5.45	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	5	2.36	5	4.55	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	4	1.89	4	3.64	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

September 2020

Non-S&P 500 Stocks

Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non-	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
354	100.00	339	95.76	2	0.56	2	0.56	11	3.11

Venue - Non- directed Order Flow	Non- Directed Orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Receiv ed for Market Orders(USD)	Market Orders(cent	Net Payment Paid/Receiv ed for Marketable Limit Orders(USD)	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit	Paid/Receiv ed for	Other Orders(cent
National Financial Services LLC(XSTM)	218	37.20	0	0.00	0	0.00	0	0.00	218	86.17	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	183	31.23	174	53.05	0	0.00	0	0.00	9	3.56	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	105	17.92	102	31.10	2	100.00	0	0.00	1	0.40	0	0	0	0	0	0	0	0
Instinet BlockCross(B LKX)	24	4.10	0	0.00	0	0.00	0	0.00	24	9.49	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	21	3.58	21	6.40	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	14	2.39	13	3.96	0	0.00	0	0.00	1	0.40	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	11	1.88	11	3.35	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	7	1.19	7	2.13	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(ED GX)	1	0.17	0	0.00	0	0.00	1	33.33	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1	0.17	0	0.00	0	0.00	1	33.33	0	0.00	0	0	0	0	0	0	0	0

September 2020

Option Contracts Summary

Total Non- Directed Orders	Non- Directed Orders as % of All Orders		Market Orders as % of Non- Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non- Directed Orders	Total Non- Marketable	Non- Marketable Limit Orders as % of Non- Directed Orders	Total Other	Other Orders as % of Non- Directed Orders
37	100.00	28	75.68	5	13.51	4	10.81	0	0.00

Venue - Non- directed Order Flow	Non- Directed Orders	Non- Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non- Marketable Limit Orders	Non- Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Receiv ed for Market Orders(USD)	ed for Market Orders(cent	Paid/Receiv ed for Marketable	Marketable Limit Orders(cent	Paid/Receiv ed for Non- Marketable	Marketable Limit Orders(cent	Net Payment Paid/Receiv ed for Other Orders(USD	Other Orders(cent
Interactive Brokers LLC(IBKR)	14	37.84	6	21.43	5	100.00	3	75.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	10	27.03	10	35.71	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	6	16.22	6	21.43	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	5	13.51	5	17.86	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	2.70	1	3.57	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	2.70	0	0.00	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0