

FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

Generated on Wed Jan 06 2021 07:56:02 GMT-5 EST

4th Quarter, 2020

October 2020

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
143	100.00	130	90.91	0	0.00	0	0.00	13	9.09

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	99	41.08	99	45.21	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	73	30.29	65	29.68	0	0.00	0	0.00	8	36.36	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	40	16.60	36	16.44	0	0.00	0	0.00	4	18.18	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	9	3.73	0	0.00	0	0.00	0	0.00	9	40.91	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	8	3.32	8	3.65	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	6	2.49	6	2.74	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	5	2.07	5	2.28	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1	0.41	0	0.00	0	0.00	0	0.00	1	4.55	0	0	0	0	0	0	0	0

October 2020

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
383	100.00	361	94.26	0	0.00	0	0.00	22	5.74

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	268	38.90	268	41.29	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	188	27.29	182	28.04	0	0.00	0	0.00	6	15.00	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	145	21.04	130	20.03	0	0.00	0	0.00	15	37.50	0	0	0	0	0	0	0	0
Instinet BlockCross(BLXX)	42	6.10	24	3.70	0	0.00	0	0.00	18	45.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	21	3.05	21	3.24	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	14	2.03	14	2.16	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	9	1.31	9	1.39	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2	0.29	1	0.15	0	0.00	0	0.00	1	2.50	0	0	0	0	0	0	0	0

October 2020

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
33	100.00	17	51.52	3	9.09	13	39.39	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Interactive Brokers LLC(IBKR)	16	48.48	11	64.71	2	66.67	3	23.08	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	10	30.30	3	17.65	1	33.33	6	46.15	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	3	9.09	0	0.00	0	0.00	3	23.08	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	2	6.06	2	11.76	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	1	3.03	0	0.00	0	0.00	1	7.69	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	3.03	1	5.88	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

November 2020

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
187	100.00	179	95.72	0	0.00	1	0.53	7	3.74

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	106	35.69	91	35.97	0	0.00	0	0.00	15	37.50	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	82	27.61	78	30.83	0	0.00	0	0.00	4	10.00	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	59	19.87	56	22.13	0	0.00	0	0.00	3	7.50	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	18	6.06	0	0.00	0	0.00	0	0.00	18	45.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	11	3.70	11	4.35	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	8	2.69	8	3.16	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	7	2.36	7	2.77	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	3	1.01	0	0.00	0	0.00	3	75.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2	0.67	2	0.79	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1	0.34	0	0.00	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0

November 2020

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
257	100.00	244	94.94	0	0.00	5	1.95	8	3.11

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	182	37.30	173	41.99	0	0.00	0	0.00	9	14.06	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	123	25.20	119	28.88	0	0.00	0	0.00	4	6.25	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	78	15.98	75	18.20	0	0.00	0	0.00	3	4.69	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	47	9.63	0	0.00	0	0.00	0	0.00	47	73.44	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	16	3.28	16	3.88	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	14	2.87	14	3.40	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	10	2.05	10	2.43	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	6	1.23	0	0.00	0	0.00	6	50.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	6	1.23	5	1.21	0	0.00	0	0.00	1	1.56	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	3	0.61	0	0.00	0	0.00	3	25.00	0	0.00	0	0	0	0	0	0	0	0

November 2020

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
16	100.00	5	31.25	0	0.00	11	68.75	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	8	50.00	3	60.00	0	0.00	5	45.45	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	3	18.75	0	0.00	0	0.00	3	27.27	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	2	12.50	1	20.00	0	0.00	1	9.09	0	0.00	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	1	6.25	1	20.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	6.25	0	0.00	0	0.00	1	9.09	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	1	6.25	0	0.00	0	0.00	1	9.09	0	0.00	0	0	0	0	0	0	0	0

December 2020

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
275	100.00	268	97.45	0	0.00	0	0.00	7	2.55

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
---------------------------------	---------------------	------------------------	---------------	------------------	-------------------------	----------------------------	-----------------------------	--------------------------------	--------------	-----------------	--	---	--	---	--	---	---	--

