FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2021

January 2021

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
370	100.00	369	99.73	0	0.00	1	0.27	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	174	32.52	0	0.00	0	0.00	0	0.00	174	94.05	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	161	30.09	161	46.13	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	99	18.50	99	28.37	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	37	6.92	37	10.60	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	19	3.55	19	5.44	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	18	3.36	18	5.16	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	15	2.80	15	4.30	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	11	2.06	0	0.00	0	0.00	0	0.00	11	5.95	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1	0.19	0	0.00	0	0.00	1	100.00	0	0.00	0	0	0	0	0	0	0	0

January 2021

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
570	100.00	549	96.32	1	0.18	2	0.35	18	3.16

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	352	36.40	0	0.00	0	0.00	0	0.00	352	82.05	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	257	26.58	255	47.75	0	0.00	1	33.33	1	0.23	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	168	17.37	161	30.15	1	100.00	0	0.00	6	1.40	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	59	6.10	0	0.00	0	0.00	0	0.00	59	13.75	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	45	4.65	45	8.43	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	35	3.62	35	6.55	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	28	2.90	17	3.18	0	0.00	0	0.00	11	2.56	0	0	0	0	0	0	0	0

G1 Execution Services, LLC(ETMM)	21	2.17	21	3.93	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2	0.21	0	0.00	0	0.00	2	66.67	0	0.00	0	0	0	0	0	0	0	0

January 2021

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketabl e Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
48	100.00	40	83.33	0	0.00	8	16.67	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	21	43.75	18	45.00	0	0.00	3	37.50	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	13	27.08	13	32.50	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	7	14.58	6	15.00	0	0.00	1	12.50	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	4	8.33	1	2.50	0	0.00	3	37.50	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	2	4.17	2	5.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	1	2.08	0	0.00	0	0.00	1	12.50	0	0.00	0	0	0	0	0	0	0	0

February 2021

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketabl e Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
362	100.00	361	99.72	0	0.00	0	0.00	1	0.28

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	282	44.34	0	0.00	0	0.00	0	0.00	282	93.69	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	178	27.99	177	52.84	0	0.00	0	0.00	1	0.33	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	70	11.01	70	20.90	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	41	6.45	41	12.24	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	18	2.83	0	0.00	0	0.00	0	0.00	18	5.98	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	17	2.67	17	5.07	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	16	2.52	16	4.78	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	14	2.20	14	4.18	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

February 2021

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
664	100.00	646	97.29	4	0.60	3	0.45	11	1.66

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	553	43.27	0	0.00	0	0.00	0	0.00	553	86.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	325	25.43	322	51.36	1	25.00	0	0.00	2	0.31	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	149	11.66	140	22.33	2	50.00	0	0.00	7	1.09	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	82	6.42	82	13.08	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	79	6.18	0	0.00	0	0.00	0	0.00	79	12.29	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	31	2.43	31	4.94	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	31	2.43	29	4.63	0	0.00	0	0.00	2	0.31	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	24	1.88	23	3.67	1	25.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	2	0.16	0	0.00	0	0.00	2	50.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1	0.08	0	0.00	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0

February 2021

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
67	100.00	47	70.15	3	4.48	17	25.37	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	25	37.31	20	42.55	1	33.33	4	23.53	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	18	26.87	15	31.91	1	33.33	2	11.76	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	7	10.45	7	14.89	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	7	10.45	0	0.00	1	33.33	6	35.29	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	5	7.46	3	6.38	0	0.00	2	11.76	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	5	7.46	2	4.26	0	0.00	3	17.65	0	0.00	0	0	0	0	0	0	0	0

March 2021

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
458	100.00	457	99.78	0	0.00	0	0.00	1	0.22

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	185	33.15	0	0.00	0	0.00	0	0.00	185	94.87	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	167	29.93	166	45.73	0	0.00	0	0.00	1	0.51	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	111	19.89	111	30.58	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	60	10.75	60	16.53	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	17	3.05	17	4.68	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	9	1.61	0	0.00	0	0.00	0	0.00	9	4.62	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	7	1.25	7	1.93	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2	0.36	2	0.55	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

March 2021

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
579	100.00	572	98.79	0	0.00	3	0.52	4	0.69

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	373	38.49	0	0.00	0	0.00	0	0.00	373	82.16	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	261	26.93	258	50.59	0	0.00	0	0.00	3	0.66	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	128	13.21	127	24.90	0	0.00	0	0.00	1	0.22	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	77	7.95	0	0.00	0	0.00	0	0.00	77	16.96	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	72	7.43	72	14.12	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	22	2.27	22	4.31	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	21	2.17	21	4.12	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	10	1.03	10	1.96	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	3	0.31	0	0.00	0	0.00	3	60.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1	0.10	0	0.00	0	0.00	1	20.00	0	0.00	0	0	0	0	0	0	0	0

March 2021

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directe	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
69	100.00	51	73.91	1	1.45	17	24.64	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	26	43.33	19	44.19	0	0.00	7	43.75	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	15	25.00	14	32.56	0	0.00	1	6.25	0	0.00	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	6	10.00	0	0.00	1	100.00	5	31.25	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	6	10.00	5	11.63	0	0.00	1	6.25	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	5	8.33	3	6.98	0	0.00	2	12.50	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	2	3.33	2	4.65	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0