

# FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2021

October 2021

## S&P 500 Stocks

### Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
94	100.00	87	92.55	0	0.00	0	0.00	7	7.45

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	54	36.49	0	0.00	0	0.00	0	0.00	54	85.71	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	52	35.14	48	56.47	0	0.00	0	0.00	4	6.35	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	17	11.49	14	16.47	0	0.00	0	0.00	3	4.76	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	15	10.14	15	17.65	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	6	4.05	6	7.06	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLCK)	2	1.35	0	0.00	0	0.00	0	0.00	2	3.17	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2	1.35	2	2.35	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

## October 2021

### Non-S&P 500 Stocks

#### Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
362	100.00	345	95.30	7	1.93	1	0.28	9	2.49

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	208	35.49	0	0.00	0	0.00	0	0.00	208	85.95	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	167	28.50	162	47.93	2	40.00	0	0.00	3	1.24	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	97	16.55	90	26.63	2	40.00	0	0.00	5	2.07	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	48	8.19	47	13.91	1	20.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLCK)	25	4.27	0	0.00	0	0.00	0	0.00	25	10.33	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	18	3.07	18	5.33	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	13	2.22	13	3.85	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	9	1.54	8	2.37	0	0.00	0	0.00	1	0.41	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1	0.17	0	0.00	0	0.00	1	100.00	0	0.00	0	0	0	0	0	0	0	0

October 2021

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
16	100.00	1	6.25	0	0.00	15	93.75	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	9	56.25	0	0.00	0	0.00	9	60.00	0	0.00	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	4	25.00	1	100.00	0	0.00	3	20.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	2	12.50	0	0.00	0	0.00	2	13.33	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	1	6.25	0	0.00	0	0.00	1	6.67	0	0.00	0	0	0	0	0	0	0	0

## November 2021

### S&P 500 Stocks

#### Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
172	100.00	151	87.79	1	0.58	7	4.07	13	7.56

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	120	40.96	0	0.00	0	0.00	0	0.00	120	86.33	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	86	29.35	73	50.00	0	0.00	0	0.00	13	9.35	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	42	14.33	41	28.08	1	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	20	6.83	20	13.70	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLIX)	6	2.05	0	0.00	0	0.00	0	0.00	6	4.32	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	6	2.05	0	0.00	0	0.00	6	85.71	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	5	1.71	5	3.42	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	4	1.37	4	2.74	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	3	1.02	3	2.05	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1	0.34	0	0.00	0	0.00	1	14.29	0	0.00	0	0	0	0	0	0	0	0

## November 2021

### Non-S&P 500 Stocks

#### Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
454	100.00	416	91.63	0	0.00	3	0.66	35	7.71

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	254	34.70	0	0.00	0	0.00	0	0.00	254	79.62	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	197	26.91	186	45.48	0	0.00	1	25.00	10	3.13	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	122	16.67	109	26.65	0	0.00	0	0.00	13	4.08	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	70	9.56	69	16.87	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLCK)	30	4.10	0	0.00	0	0.00	0	0.00	30	9.40	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	30	4.10	30	7.33	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	21	2.87	9	2.20	0	0.00	0	0.00	12	3.76	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	6	0.82	6	1.47	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	1	0.14	0	0.00	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1	0.14	0	0.00	0	0.00	1	25.00	0	0.00	0	0	0	0	0	0	0	0

## November 2021

### Option Contracts

#### Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
17	100.00	3	17.65	3	17.65	11	64.71	0	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Interactive Brokers LLC(IBKR)	11	64.71	2	66.67	3	75.00	6	60.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	2	11.76	0	0.00	0	0.00	2	20.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	1	5.88	0	0.00	0	0.00	1	10.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	5.88	1	33.33	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	1	5.88	0	0.00	1	25.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	5.88	0	0.00	0	0.00	1	10.00	0	0.00	0	0	0	0	0	0	0	0

## December 2021

### S&P 500 Stocks

#### Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
233	100.00	227	97.42	0	0.00	1	0.43	5	2.15

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	129	35.93	0	0.00	0	0.00	0	0.00	129	92.81	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	107	29.81	102	46.58	0	0.00	0	0.00	5	3.60	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	51	14.21	51	23.29	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	44	12.26	44	20.09	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	11	3.06	11	5.02	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	8	2.23	8	3.65	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLCK)	5	1.39	0	0.00	0	0.00	0	0.00	5	3.60	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	3	0.84	3	1.37	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1	0.28	0	0.00	0	0.00	1	100.00	0	0.00	0	0	0	0	0	0	0	0

## December 2021

### Non-S&P 500 Stocks

#### Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
500	100.00	460	92.00	7	1.40	3	0.60	30	6.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	322	38.56	0	0.00	0	0.00	0	0.00	322	86.79	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	226	27.07	208	45.81	3	42.86	0	0.00	15	4.04	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	156	18.68	139	30.62	3	42.86	0	0.00	14	3.77	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	68	8.14	67	14.76	1	14.29	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLCK)	19	2.28	0	0.00	0	0.00	0	0.00	19	5.12	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	16	1.92	16	3.52	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	14	1.68	13	2.86	0	0.00	0	0.00	1	0.27	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	11	1.32	11	2.42	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2	0.24	0	0.00	0	0.00	2	66.67	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	1	0.12	0	0.00	0	0.00	1	33.33	0	0.00	0	0	0	0	0	0	0	0



## December 2021

### Option Contracts

#### Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
9	100.00	7	77.78	0	0.00	2	22.22	0	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Interactive Brokers LLC(IBKR)	5	55.56	5	71.43	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	2	22.22	2	28.57	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	1	11.11	0	0.00	0	0.00	1	50.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	11.11	0	0.00	0	0.00	1	50.00	0	0.00	0	0	0	0	0	0	0	0