

FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2022

October 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
122	100.00	108	88.52	6	4.92	5	4.10	3	2.46

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	105	43.39	0	0.00	0	0.00	0	0.00	105	82.03	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	40	16.53	33	33.00	5	83.33	2	25.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	30	12.40	26	26.00	1	16.67	0	0.00	3	2.34	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	27	11.16	27	27.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	20	8.26	0	0.00	0	0.00	0	0.00	20	15.63	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	14	5.79	14	14.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	4	1.65	0	0.00	0	0.00	4	50.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	2	0.83	0	0.00	0	0.00	2	25.00	0	0.00	0	0	0	0	0	0	0	0

October 2022

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
362	100.00	332	91.71	22	6.08	5	1.38	3	0.83

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	241	37.25	0	0.00	0	0.00	0	0.00	241	80.07	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	132	20.40	118	36.99	9	40.91	3	60.00	2	0.66	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	91	14.06	76	23.82	13	59.09	2	40.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	66	10.20	66	20.69	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLXK)	57	8.81	0	0.00	0	0.00	0	0.00	57	18.94	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	43	6.65	43	13.48	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	11	1.70	10	3.13	0	0.00	0	0.00	1	0.33	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	6	0.93	6	1.88	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

October 2022

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
23	100.00	23	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Susquehanna Capital Group(SUSQ)	10	43.48	10	43.48	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	7	30.43	7	30.43	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	3	13.04	3	13.04	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	2	8.70	2	8.70	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	4.35	1	4.35	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

November 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
112	100.00	101	90.18	0	0.00	1	0.89	10	8.93

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	51	32.08	0	0.00	0	0.00	0	0.00	51	75.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	32	20.13	27	30.00	0	0.00	1	100.00	4	5.88	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	30	18.87	24	26.67	0	0.00	0	0.00	6	8.82	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	23	14.47	23	25.56	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	14	8.81	14	15.56	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	7	4.40	0	0.00	0	0.00	0	0.00	7	10.29	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1	0.63	1	1.11	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1	0.63	1	1.11	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

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Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
337	100.00	317	94.07	6	1.78	3	0.89	11	3.26

Venues

Venue - Non-Directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	269	43.11	0	0.00	0	0.00	0	0.00	269	85.40	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	98	15.71	91	31.06	2	33.33	0	0.00	5	1.59	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	94	15.06	84	28.67	3	50.00	1	10.00	6	1.90	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	62	9.94	62	21.16	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	50	8.01	49	16.72	1	16.67	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLXK)	35	5.61	0	0.00	0	0.00	0	0.00	35	11.11	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	7	1.12	0	0.00	0	0.00	7	70.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	6	0.96	6	2.05	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	2	0.32	0	0.00	0	0.00	2	20.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1	0.16	1	0.34	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

November 2022

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
27	100.00	19	70.37	2	7.41	6	22.22	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	12	44.44	8	42.11	2	100.00	2	33.33	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	6	22.22	6	31.58	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	4	14.81	2	10.53	0	0.00	2	33.33	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	3	11.11	1	5.26	0	0.00	2	33.33	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	2	7.41	2	10.53	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

December 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
89	100.00	86	96.63	0	0.00	0	0.00	3	3.37

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	84	46.67	0	0.00	0	0.00	0	0.00	84	81.55	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	35	19.44	32	41.56	0	0.00	0	0.00	3	2.91	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	20	11.11	20	25.97	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	19	10.56	19	24.68	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLXK)	16	8.89	0	0.00	0	0.00	0	0.00	16	15.53	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	6	3.33	6	7.79	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

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Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
294	100.00	274	93.20	9	3.06	6	2.04	5	1.70

Venues

Venue - Non-Directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	211	39.74	0	0.00	0	0.00	0	0.00	211	86.48	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	86	16.20	79	29.59	4	44.44	0	0.00	3	1.23	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	79	14.88	77	28.84	1	11.11	0	0.00	1	0.41	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	69	12.99	66	24.72	2	22.22	1	9.09	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	40	7.53	39	14.61	1	11.11	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLCK)	28	5.27	0	0.00	0	0.00	0	0.00	28	11.48	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	6	1.13	5	1.87	1	11.11	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Choe BZX U.S. Equities Exchange(BATS)	4	0.75	0	0.00	0	0.00	4	36.36	0	0.00	0	0	0	0	0	0	0	0
Choe EDGX US Equities Exchange(EDGX)	2	0.38	0	0.00	0	0.00	2	18.18	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	2	0.38	0	0.00	0	0.00	2	18.18	0	0.00	0	0	0	0	0	0	0	0

December 2022

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
16	100.00	14	87.50	1	6.25	1	6.25	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	8	50.00	7	50.00	0	0.00	1	50.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	7	43.75	7	50.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	6.25	0	0.00	0	0.00	1	50.00	0	0.00	0	0	0	0	0	0	0	0