

FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2023

January 2023

S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
121	100.00	109	90.08	4	3.31	1	0.83	7	5.79

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	90	42.45	0	0.00	0	0.00	0	0.00	90	82.57	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	55	25.94	45	45.92	3	75.00	0	0.00	7	6.42	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	22	10.38	20	20.41	1	25.00	1	100.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	21	9.91	21	21.43	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	12	5.66	0	0.00	0	0.00	0	0.00	12	11.01	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	10	4.72	10	10.20	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	1	0.47	1	1.02	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1	0.47	1	1.02	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

January 2023

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
255	100.00	209	81.96	12	4.71	4	1.57	30	11.76

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	144	33.80	0	0.00	0	0.00	0	0.00	144	69.23	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	90	21.13	73	36.14	2	16.67	1	25.00	14	6.73	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	75	17.61	49	24.26	9	75.00	3	75.00	14	6.73	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	44	10.33	44	21.78	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	34	7.98	0	0.00	0	0.00	0	0.00	34	16.35	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	18	4.23	17	8.42	1	8.33	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	18	4.23	18	8.91	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	3	0.70	1	0.50	0	0.00	0	0.00	2	0.96	0	0	0	0	0	0	0	0

January 2023

Option Contracts
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
15	100.00	9	60.00	1	6.67	5	33.33	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	7	41.18	4	44.44	0	0.00	3	42.86	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	4	23.53	3	33.33	1	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	3	17.65	1	11.11	0	0.00	2	28.57	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	2	11.76	0	0.00	0	0.00	2	28.57	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	5.88	1	11.11	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

February 2023

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
60	100.00	53	88.33	0	0.00	5	8.33	2	3.33

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	43	33.33	0	0.00	0	0.00	0	0.00	43	81.13	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	22	17.05	0	0.00	0	0.00	22	84.62	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	20	15.50	17	34.00	0	0.00	3	11.54	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	17	13.18	15	30.00	0	0.00	0	0.00	2	3.77	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	11	8.53	11	22.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	8	6.20	0	0.00	0	0.00	0	0.00	8	15.09	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	6	4.65	6	12.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1	0.78	0	0.00	0	0.00	1	3.85	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	1	0.78	1	2.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

February 2023

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
181	100.00	145	80.11	6	3.31	12	6.63	18	9.94

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	104	33.55	0	0.00	0	0.00	0	0.00	104	74.29	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	60	19.35	36	25.53	6	100.00	9	39.13	9	6.43	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	57	18.39	48	34.04	0	0.00	0	0.00	9	6.43	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	33	10.65	33	23.40	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	18	5.81	0	0.00	0	0.00	0	0.00	18	12.86	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	12	3.87	12	8.51	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	11	3.55	0	0.00	0	0.00	11	47.83	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	8	2.58	8	5.67	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	3	0.97	3	2.13	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	2	0.65	0	0.00	0	0.00	2	8.70	0	0.00	0	0	0	0	0	0	0	0

February 2023

Option Contracts
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
12	100.00	7	58.33	3	25.00	2	16.67	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	8	57.14	6	66.67	1	33.33	1	50.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	4	28.57	2	22.22	2	66.67	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	1	7.14	1	11.11	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	7.14	0	0.00	0	0.00	1	50.00	0	0.00	0	0	0	0	0	0	0	0

March 2023

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
87	100.00	80	91.95	0	0.00	2	2.30	5	5.75

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	73	41.95	0	0.00	0	0.00	0	0.00	73	75.26	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	36	20.69	31	41.33	0	0.00	0	0.00	5	5.15	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	20	11.49	20	26.67	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	19	10.92	0	0.00	0	0.00	0	0.00	19	19.59	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	16	9.20	16	21.33	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	5	2.87	5	6.67	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	3	1.72	3	4.00	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	2	1.15	0	0.00	0	0.00	2	100.00	0	0.00	0	0	0	0	0	0	0	0

March 2023

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
323	100.00	293	90.71	3	0.93	8	2.48	19	5.88

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	241	40.92	0	0.00	0	0.00	0	0.00	241	83.97	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	115	19.52	105	36.08	1	33.33	2	25.00	7	2.44	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	91	15.45	91	31.27	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	82	13.92	64	21.99	2	66.67	4	50.00	12	4.18	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	30	5.09	3	1.03	0	0.00	0	0.00	27	9.41	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	20	3.40	20	6.87	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	4	0.68	4	1.37	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	3	0.51	3	1.03	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1	0.17	0	0.00	0	0.00	1	12.50	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	1	0.17	0	0.00	0	0.00	1	12.50	0	0.00	0	0	0	0	0	0	0	0

March 2023

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
33	100.00	32	96.97	0	0.00	1	3.03	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	13	40.63	13	41.94	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	12	37.50	12	38.71	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	4	12.50	4	12.90	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	2	6.25	1	3.23	0	0.00	1	100.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	3.13	1	3.23	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0