

FINANCIAL SENSE SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2023

July 2023

S&P 500

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
80	100.00	70	87.50	1	1.25	9	11.25	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	54	31.03	0	0.00	0	0.00	0	0.00	54	91.53	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	46	26.44	0	0.00	0	0.00	46	93.88	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	28	16.09	27	41.54	0	0.00	1	2.04	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	21	12.07	19	29.23	1	100.00	1	2.04	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	10	5.75	10	15.38	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	8	4.60	8	12.31	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	5	2.87	0	0.00	0	0.00	0	0.00	5	8.47	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1	0.57	0	0.00	0	0.00	1	2.04	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1	0.57	1	1.54	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

July 2023

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
199	100.00	149	74.87	30	15.08	5	2.51	15	7.54

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	105	33.33	0	0.00	0	0.00	0	0.00	105	77.78	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	69	21.90	34	23.45	30	100.00	5	100.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	60	19.05	60	41.38	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	22	6.98	22	15.17	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	18	5.71	18	12.41	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	17	5.40	2	1.38	0	0.00	0	0.00	15	11.11	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	15	4.76	0	0.00	0	0.00	0	0.00	15	11.11	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	9	2.86	9	6.21	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

July 2023

Option
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
8	100.00	1	12.50	0	0.00	7	87.50	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	6	75.00	1	100.00	0	0.00	5	71.43	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	1	12.50	0	0.00	0	0.00	1	14.29	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	12.50	0	0.00	0	0.00	1	14.29	0	0.00	0	0	0	0	0	0	0	0

August 2023

S&P 500
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
90	100.00	85	94.44	1	1.11	2	2.22	2	2.22

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	59	39.07	0	0.00	0	0.00	0	0.00	59	85.51	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	33	21.85	31	39.24	0	0.00	0	0.00	2	2.90	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	29	19.21	26	32.91	1	100.00	2	100.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	13	8.61	13	16.46	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLXK)	8	5.30	0	0.00	0	0.00	0	0.00	8	11.59	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	7	4.64	7	8.86	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2	1.32	2	2.53	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

August 2023

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
179	100.00	161	89.94	4	2.23	5	2.79	9	5.03

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	109	34.49	0	0.00	0	0.00	0	0.00	109	85.83	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	71	22.47	65	40.88	0	0.00	0	0.00	6	4.72	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	36	11.39	36	22.64	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	32	10.13	23	14.47	4	100.00	2	7.69	3	2.36	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	28	8.86	28	17.61	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	20	6.33	0	0.00	0	0.00	20	76.92	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	9	2.85	0	0.00	0	0.00	0	0.00	9	7.09	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	4	1.27	4	2.52	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	3	0.95	3	1.89	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	2	0.63	0	0.00	0	0.00	2	7.69	0	0.00	0	0	0	0	0	0	0	0

August 2023

Option
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
15	100.00	11	73.33	0	0.00	4	26.67	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	6	40.00	4	36.36	0	0.00	2	50.00	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	5	33.33	5	45.45	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	3	20.00	1	9.09	0	0.00	2	50.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	6.67	1	9.09	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

September 2023

S&P 500
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
29	100.00	27	93.10	2	6.90	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC(NITE)	12	32.43	10	38.46	2	100.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	10	27.03	10	38.46	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	8	21.62	0	0.00	0	0.00	0	0.00	8	88.89	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	4	10.81	4	15.38	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	2	5.41	2	7.69	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	1	2.70	0	0.00	0	0.00	0	0.00	1	11.11	0	0	0	0	0	0	0	0

September 2023

Non-S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
106	100.00	94	88.68	1	0.94	0	0.00	11	10.38

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC(NITE)	46	33.09	37	40.22	1	100.00	0	0.00	8	17.39	0	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	29	20.86	0	0.00	0	0.00	0	0.00	29	63.04	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	28	20.14	25	27.17	0	0.00	0	0.00	3	6.52	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	9	6.47	9	9.78	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	8	5.76	8	8.70	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	7	5.04	7	7.61	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKK)	6	4.32	0	0.00	0	0.00	0	0.00	6	13.04	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	6	4.32	6	6.52	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0

September 2023

Option
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
28	100.00	23	82.14	1	3.57	4	14.29	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Susquehanna Capital Group(SUSQ)	13	46.43	12	52.17	1	50.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	9	32.14	6	26.09	1	50.00	2	66.67	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	4	14.29	4	17.39	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	3.57	1	4.35	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	1	3.57	0	0.00	0	0.00	1	33.33	0	0.00	0	0	0	0	0	0	0	0